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# Transmission Power Variance Constrained Power Allocation for Iterative Frequency Domain Multiuser SIMO Detector

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**Abstract**—Transmission power variance constrained power allocation in single carrier multiuser (MU) single-input multiple-output (SIMO) systems with iterative frequency domain (FD) soft cancellation (SC) minimum mean squared error (MMSE) equalization is considered in this paper. It is known in the literature that peak to average power ratio (PAPR) at the transmitter can be reduced by reducing the variance of the transmit power. In this paper, we derive a power variance constraint to statistically control the PAPR. This constraint is plugged in to a convergence constrained power allocation (CCPA) problem and a successive convex approximation (SCA) is derived via geometric program (GP). Numerical results are presented in the form of complementary cumulative distribution functions (CCDFs) to demonstrate the effectiveness of the proposed method.

## I. INTRODUCTION

The use of frequency division multiplexing via discrete Fourier transform (DFT) causes a high peak-to-average power ratio (PAPR), which necessitates expensive and power-inefficient radio-frequency (RF) components at the transmitter. Recent work on minimizing the PAPR in single carrier frequency division multiple access (FDMA) [1] transmission can be found in [2]–[4], where they propose different precoding methods for PAPR reduction. However, these methods do not take into account the transmit power allocation, the channel nor the receiver. PAPR-aware large-scale multiuser (MU) multiple-input multiple-output (MIMO) orthogonal frequency division multiplexing (OFDM) downlink is investigated in [5] where they assume the massive degrees-of-freedom available to achieve low PAPR.

To exploit the full merit of iterative receiver, the convergence properties of an iterative receiver needs to be taken into account at a transmitter side. This issue has been thoroughly investigated in [6] where the power allocation to different channels is optimized subject to a quality of service (QoS) constraint taking into account the convergence properties of iterative frequency domain (FD) soft cancellation (SC) minimum mean squared error (MMSE) MIMO receiver. The convergence properties were examined by using extrinsic information transfer (EXIT) charts [7]. The concept in [6] has been extended for MU systems in [8], [9]. In this paper, we will introduce a power variance constraint for the convergence constrained power allocation (CCPA) problem presented in [9]. In other words, we will minimize the total transmit power in a cell with multiple users while guaranteeing the desired QoS in terms of bit error probability (BEP) and keeping the transmit power variance always below the desired value.

The main contributions of this paper are summarized as follows: The expected power variance of the transmitted waveform is derived

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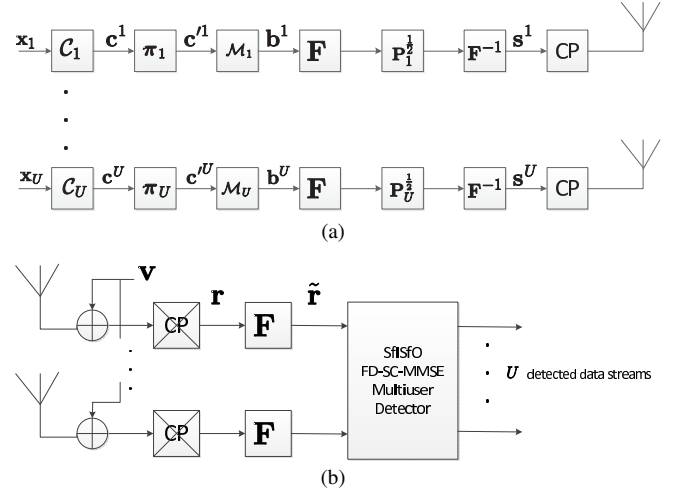


Fig. 1. The block diagram of (a) the transmitter side (b) the receiver side of the system model.

as a function of power allocation. The variance constraint is derived and a local convex approximation of the constraint is formulated via geometric program (GP) [10]. The constraint is plugged in to a CCPA problem and solved by successive convex approximation (SCA) algorithm [11].

## II. SYSTEM MODEL

Consider a single carrier uplink transmission with  $U$  single-antenna users and a base station with  $N_R$  antennas as depicted in Fig. 1. Each user's data stream is encoded by forward error correction code (FEC)  $C_u$ ,  $u = 1, 2, \dots, U$ . The encoded bits are bit interleaved and mapped onto a  $2^{N_Q}$ -ary complex symbol, where  $N_Q$  denotes the number of bits per modulation symbol. After the modulation, each user's data stream is transformed into the frequency domain by performing the discrete Fourier transform (DFT) and multiplied with its associated power allocation matrix. Finally, before transmission, each user's data stream is transformed into the time domain by the inverse DFT (IDFT) and a cyclic prefix is added to mitigate inter block interference (IBI).

At the receiver side, after the cyclic prefix removal, the signal can be expressed as

$$\mathbf{r} = \mathbf{H}_u \mathbf{F}^{-1} \mathbf{P}_u^{\frac{1}{2}} \mathbf{F} \mathbf{b}^u + \sum_{\substack{y=1 \\ y \neq u}}^U \mathbf{H}_y \mathbf{F}^{-1} \mathbf{P}_y^{\frac{1}{2}} \mathbf{F} \mathbf{b}^y + \mathbf{v}, \quad (1)$$

where  $\mathbf{H}_u = [\mathbf{H}_u^1, \mathbf{H}_u^2, \dots, \mathbf{H}_u^{N_R}]^T \in \mathbb{C}^{N_R N_F \times N_F}$  is the space-time channel matrix for user  $u$  and  $\mathbf{H}_u^T =$

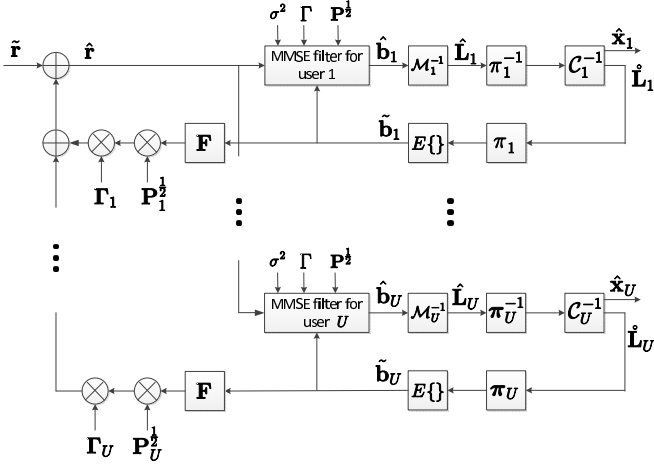


Fig. 2. The block diagram of FD-SC-MMSE turbo equalizer.

$\text{circ}\{[h_{u,1}^r, h_{u,2}^r, \dots, h_{u,N_L}^r, \mathbf{0}_{1 \times N_F - N_L}]^T\} \in \mathbb{C}^{N_F \times N_F}$  is the time domain circulant channel matrix for user  $u$  at the receive antenna  $r$ . The operator  $\text{circ}\{\cdot\}$  generates matrix that has a circulant structure of its argument vector and  $N_L$  denotes the length of the channel impulse response.  $\mathbf{F} \in \mathbb{C}^{N_F \times N_F}$  denotes the DFT matrix with elements  $f_{m,l} = \frac{1}{\sqrt{N_F}} \exp(i2\pi(m-1)(l-1)/N_F)$ .  $\mathbf{P} \in \mathbb{R}^{N_F \times U N_F}$  is the power allocation matrix denoted as  $\mathbf{P} = \text{diag}(\mathbf{P}_1, \mathbf{P}_2, \dots, \mathbf{P}_U)$  with  $\mathbf{P}_u = \text{diag}([P_{u,1}, P_{u,2}, \dots, P_{u,N_F}]^T) \in \mathbb{R}^{N_F \times N_F}$ ,  $u = 1, 2, \dots, U$ , and  $\mathbf{b} = [\mathbf{b}^1, \mathbf{b}^2, \dots, \mathbf{b}^U]^T$ .  $\mathbf{b}^u \in \mathbb{C}^{N_F}$ ,  $u = 1, 2, \dots, U$ , is the modulated complex data vector for the  $u^{\text{th}}$  user and  $\mathbf{v} \in \mathbb{C}^{N_F}$  is white additive independent identically distributed (i.i.d.) Gaussian noise vector with variance  $\sigma_v^2$ .

### III. PROBLEM FORMULATION

The block diagram of the FD-SC-MMSE turbo equalizer is depicted in Fig. 2. The frequency domain signal after the soft cancellation can be written as

$$\hat{\mathbf{r}} = \tilde{\mathbf{r}} - \Gamma \mathbf{P}^{\frac{1}{2}} \mathbf{F}_U \tilde{\mathbf{b}}, \quad (2)$$

where  $\tilde{\mathbf{b}} = [\tilde{\mathbf{b}}^1, \tilde{\mathbf{b}}^2, \dots, \tilde{\mathbf{b}}^U]^T \in \mathbb{C}^{U N_F}$  are the soft symbol estimates of the modulated complex symbols and  $\mathbf{F}_U = \mathbf{I}_U \otimes \mathbf{F} \in \mathbb{C}^{U N_F \times U N_F}$ .  $\mathbf{I}_U$  denotes the  $U \times U$  identity matrix and  $\otimes$  is the Kronecker product.  $\Gamma = [\Gamma_1, \Gamma_2, \dots, \Gamma_U] \in \mathbb{C}^{N_R N_F \times U N_F}$  and  $\Gamma_u = \text{bdiag}\{\Gamma_{u,1}, \Gamma_{u,2}, \dots, \Gamma_{u,N_F}\} \in \mathbb{C}^{N_R N_F \times N_F}$  is the space-frequency channel matrix for user  $u$  expressed as

$$\Gamma_u = \mathbf{F}_{N_R} \mathbf{H}_u \mathbf{F}^{-1}. \quad (3)$$

$\Gamma_{u,m} \in \mathbb{C}^{N_R \times N_R}$  is the diagonal channel matrix for  $m^{\text{th}}$  frequency bin of  $u^{\text{th}}$  user and  $\text{bdiag}\{\cdot\}$  generates block diagonal matrix of its arguments.  $\hat{\mathbf{L}}_u$  and  $\tilde{\mathbf{L}}_u$  in Fig. 2 denote the log-likelihood ratios (LLRs) provided by the equalizer and the channel decoder of user  $u$ , respectively, and  $\hat{\mathbf{x}}_u$  denotes the estimate of  $\mathbf{x}_u$ .

#### A. Convergence Constraint

The convergence constraint can be expressed as LLR variance constraint as [6], [8], [9], [12]

$$\hat{\sigma}_{u,k}^2 \geq \tilde{\sigma}_{u,k}^2, \forall u = 1, 2, \dots, U, \forall k = 1, 2, \dots, K. \quad (4)$$

where  $\hat{\sigma}_{u,k}^2$  and  $\tilde{\sigma}_{u,k}^2$  is the variance of the LLRs at the output of the equalizer and at the input of the decoder, respectively, for  $u^{\text{th}}$  user at the  $k$  sample point in the EXIT chart. When Gray-mapped quadrature

phase shift keying (QPSK) modulation is used, the variance of the LLRs at the output of the equalizer can be expressed as [6, Eq. (17)]

$$\hat{\sigma}_{u,k}^2 = \frac{4\zeta_{u,k}}{1 - \zeta_{u,k}\bar{\Delta}_{u,k}}. \quad (5)$$

The idea is that we choose  $K$  MI points from the  $U+1$ -dimensional EXIT chart of the equalizer and the decoders of all the users. The  $K$  points are chosen such that all the decoder's outputs are equal, i.e., the points lie on the line from the origin to the convergence point. This approach is referred as diagonal sampling [9]. These MI points are then mapped to LLR variances yielding a sequence  $\hat{\sigma}_{u,1}^2, \hat{\sigma}_{u,2}^2, \dots, \hat{\sigma}_{u,K}^2$ .  $\zeta_{u,k}$  in (5) is called as the effective SINR of the prior symbol estimates and is given by [9]

$$\zeta_{u,k} = \frac{1}{N_F} \sum_{m=1}^{N_F} \frac{P_{u,m} |\boldsymbol{\omega}_{u,m}^k \mathbf{H} \boldsymbol{\gamma}_{u,m}|^2}{\sum_{l=1}^U P_{l,m} |\boldsymbol{\omega}_{l,m}^k \mathbf{H} \boldsymbol{\gamma}_{l,m}|^2 \bar{\Delta}_{l,k} + \|\boldsymbol{\omega}_{u,m}^k \mathbf{H}\|^2 \sigma_v^2}, \quad (6)$$

where  $\boldsymbol{\gamma}_{u,m} \in \mathbb{C}^{N_R}$  consists of the diagonal elements of  $\Gamma_{u,m}$ , i.e.,  $\boldsymbol{\gamma}_{u,m}$  is the channel vector for  $m^{\text{th}}$  frequency bin of user  $u$ .  $\boldsymbol{\omega}_{u,m}^k \in \mathbb{C}^{N_R}$  is the receive beamforming vector for  $m^{\text{th}}$  frequency bin of user  $u$  at MI index  $k$  and it can be optimally calculated as [13]

$$\boldsymbol{\omega}_{u,m}^k \mathbf{H} = \left( \sum_{l=1}^U P_{l,m} \boldsymbol{\gamma}_{l,m} \boldsymbol{\gamma}_{l,m}^H \bar{\Delta}_{l,k} + \sigma_v^2 \mathbf{I}_{N_R} \right)^{-1} \boldsymbol{\gamma}_{u,m} P_{u,m}^{\frac{1}{2}}. \quad (7)$$

$\bar{\Delta}_{u,k} \in \mathbb{R}$  is the average residual interference of the soft symbol estimates and is given by

$$\bar{\Delta}_{u,k} = \text{avg}\{\mathbf{1}_{N_F} - \tilde{\mathbf{b}}^u\}, \quad (8)$$

where  $\tilde{\mathbf{b}}^u = [|\tilde{b}_1^u|^2, |\tilde{b}_2^u|^2, \dots, |\tilde{b}_{N_F}^u|^2]^T \in \mathbb{C}^{N_F}$ . The soft symbol estimate  $\tilde{b}_n^u$  is calculated as

$$\tilde{b}_n^u = E\{b_n^u\} = \sum_{b_i \in \mathfrak{B}} b_i \Pr(b_n^u = b_i), \quad (9)$$

where  $\mathfrak{B}$  is the modulation symbol alphabet, and the symbol *a priori* probability can be calculated by

$$\begin{aligned} \Pr(b_n^u = b_i) &= \prod_{q=1}^{N_Q} \Pr(c_{n,q}^u = z_{i,q}) \\ &= \left(\frac{1}{2}\right)^{N_Q} \prod_{q=1}^{N_Q} (1 - \bar{z}_{i,q} \tanh(\lambda_{n,q}^u/2)), \end{aligned} \quad (10)$$

with  $\bar{z}_{i,q} = 2z_{i,q} - 1$  and  $\mathbf{z}_i = [z_{i,1}, z_{i,2}, \dots, z_{i,N_Q}]^T$  is the binary representation of the symbol  $b_i$ , depending on the modulation mapping.  $\lambda_{n,q}^u$  is the *a priori* LLR of the bit  $c_{n,q}^u$ , provided by the decoder of user  $u$ . Plugging (5) into (4), the convergence constraint can be written as [9]

$$\frac{1}{N_F} \sum_{m=1}^{N_F} \frac{P_{u,m} |\boldsymbol{\omega}_{u,m}^k \mathbf{H} \boldsymbol{\gamma}_{u,m}|^2}{\sum_{l=1}^U P_{l,m} |\boldsymbol{\omega}_{l,m}^k \mathbf{H} \boldsymbol{\gamma}_{l,m}|^2 \bar{\Delta}_{l,k} + \|\boldsymbol{\omega}_{u,m}^k \mathbf{H}\|^2 \sigma_v^2} \quad (11)$$

$$\geq \xi_{u,k}, \forall u = 1, 2, \dots, U, \forall k = 1, 2, \dots, K, \quad (12)$$

where

$$\xi_{u,k} = \frac{(\hat{\sigma}_{u,k})^2}{4 + (\hat{\sigma}_{u,k})^2 \bar{\Delta}_{u,k}} \quad (13)$$

is constant.

### B. Power Variance Constraint

Because the PAPR is derived similarly for all the users, the user index is omitted in this section. Let  $\mathbf{G} = \mathbf{F}^{-1} \mathbf{P}^{\frac{1}{2}} \mathbf{F}$ . The entry  $(m, n)$  of  $\mathbf{G}$  is obtained as

$$g_{m,n} = \frac{1}{N_F} \sum_{l=1}^{N_F} \sqrt{P_l} e^{j2\pi(l-1)(n-m)/N_F}. \quad (14)$$

Let  $s_m$  be the  $m^{\text{th}}$  output of the transmitted waveform after the IFFT as depicted in Fig. 1(a). Assuming  $|b_n| = 1, \forall n$  and  $\mathbb{E}\{b_p b_q^*\} = 0, \forall p \neq q$ , where  $b_q^*$  denotes the complex conjugate of  $b_q$ , the average of the transmit power can be calculated as

$$\begin{aligned} \mu &= \text{avg}[|s_m|^2] = \frac{1}{N_F} \sum_{m=1}^{N_F} \mathbb{E}\{|s_m|^2\} \\ &= \frac{1}{N_F} \sum_{l=1}^{N_F} P_l. \end{aligned} \quad (15)$$

The variance of the output power is given by

$$\begin{aligned} \Sigma^2(\mathbf{P}) &= \frac{1}{N_F} \sum_{k=1}^{N_F} (\mathbb{E}[|s_k|^4] - \mu^2) \\ &= \frac{1}{N_F} \sum_{k=1}^{N_F} [2(\sum_{m=1}^{N_F} |g_{k,m}|^2)^2 - \sum_{m=1}^{N_F} |g_{k,m}|^4] - \mu^2. \end{aligned} \quad (16)$$

The first term reduces to

$$\frac{1}{N_F} \sum_{k=1}^{N_F} (\sum_{m=1}^{N_F} |g_{k,m}|^2)^2 = \mu^2. \quad (17)$$

The second term can be expressed as a function of power allocation as

$$\begin{aligned} &\frac{1}{N_F} \sum_{k=1}^{N_F} \sum_{m=1}^{N_F} |g_{k,m}|^4 \\ &= \frac{\mu^2}{N_F} + \frac{1}{N_F^3} \sum_{p,q \in \mathcal{S}_1} P_p P_q + \frac{1}{N_F^3} \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_p P_q P_r P_s}, \end{aligned} \quad (18)$$

where  $\mathcal{S}_1 = \{p, q \in \{1, 2, \dots, N_F\} : p \neq q, p - q = \pm N_F/2\}$  and  $\mathcal{S}_2 = \{p, q, r, s \in \{1, 2, \dots, N_F\} : p \neq q, r \neq s, (p, q) \neq (r, s), s - r \in \{p - q, N_F + p - q, -N_F + p - q\}\}$ . Substituting (17) and (18) to (16) we get

$$\begin{aligned} \Sigma^2(\mathbf{P}) &= \frac{N_F - 1}{N_F^3} (\sum_{l=1}^{N_F} P_l)^2 - \frac{1}{N_F^3} \sum_{p,q \in \mathcal{S}_1} P_p P_q - \\ &\frac{1}{N_F^3} \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_p P_q P_r P_s}. \end{aligned} \quad (19)$$

The objective is to control the variance of the normalized power. This constraint is written as

$$\frac{\Sigma^2(\mathbf{P})}{(\sum_{l=1}^{N_F} P_l)^2} \leq \sigma_s^2, \quad (20)$$

where  $\sigma_s^2 \in \mathbb{R}^+$  is the maximum power variance. Plugging (19) to (20) the constraint can be written as

$$\begin{aligned} (N_F - 1) (\sum_{l=1}^{N_F} P_l)^2 &\leq \sum_{p,q \in \mathcal{S}_1} P_p P_q + \\ \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_p P_q P_r P_s} &+ (\sum_{l=1}^{N_F} P_l)^2 \sigma_s^2 N_F^3. \end{aligned} \quad (21)$$

### C. Successive Convex Approximation

Our objective is to minimize the total transmitted power with the constraints (11) and (21). Hence, the objective is linear but both (11) and (21), are nonconvex constraints. However, we can derive a successive convex approximation for the problem via GP using the inequality [9]

$$\sum_{m=1}^{N_F} t_m \geq \prod_{m=1}^{N_F} \left( \frac{t_m}{\Phi_m} \right)^{\Phi_m}, \quad (24)$$

where  $\Phi_m = \frac{\hat{t}_m}{\sum_{n=1}^{N_F} \hat{t}_n}$ ,  $\hat{t}_m > 0$ , and  $t_m > 0, m = 1, 2, \dots, N_F$ .

The constraint (11) can be equivalently written as [9]

$$\begin{aligned} &\frac{1}{N_F} \sum_{n=1}^{N_F} t_{u,n}^k \geq \xi_{u,k}, u = 1, 2, \dots, U, k = 1, 2, \dots, K, \\ &P_{u,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{u,m}^H|^2 \geq \\ &(\sum_{l=1}^U P_{l,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{l,m}^H|^2 \bar{\Delta}_{l,k} + \|\boldsymbol{\omega}_{u,m}^k\|^2 \sigma_v^2) t_{u,m}^k, \\ &u = 1, 2, \dots, U, \forall k = 1, 2, \dots, K, m = 1, 2, \dots, N_F. \end{aligned} \quad (25)$$

Applying (24) to the first part of (25) yields [9]

$$\begin{aligned} &\prod_{n=1}^{N_F} \left( \frac{t_{u,n}^k}{\Phi_{u,n}^k} \right)^{\Phi_{u,n}^k} \geq N_F \xi_{u,k}, u = 1, 2, \dots, U, k = 1, 2, \dots, K, \\ &P_{u,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{u,m}^H|^2 \geq \\ &(\sum_{l=1}^U P_{l,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{l,m}^H|^2 \bar{\Delta}_k + \sigma_v^2 \|\boldsymbol{\omega}_{u,m}^k\|^2) t_{u,m}^k, \\ &u = 1, 2, \dots, U, k = 1, 2, \dots, K, m = 1, 2, \dots, N_F, \end{aligned} \quad (26)$$

which is a valid GP constraint.

Similarly, applying (24) two times to the RHS of (21) yields a constraint (22), where the weights are given in (23) and

$$\begin{aligned} \theta_{u,pq}^{(1)} &= \frac{P_{u,p} P_{u,q}}{\sum_{p',q' \in \mathcal{S}_1} P_{u,p'} P_{u,q'}}, \\ \theta_{u,pqrs}^{(2)} &= \frac{\sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}}}{\sum_{p',q',r',s' \in \mathcal{S}_2} \sqrt{P_{u,p'} P_{u,q'} P_{u,r'} P_{u,s'}}}, \\ \theta_{u,l}^{(3)} &= \frac{P_{u,l}^2}{\sum_{l'=1}^{N_F} P_{u,l'}^2}, \theta_{u,l}^{(4)} = \frac{P_{u,p} P_{u,q}}{\sum_{p',q'=1}^{N_F} P_{u,p'} P_{u,q'}}, \end{aligned} \quad (27)$$

A successive convex approximation of the convergence and power variance constrained power minimization problem can be written as

$$\begin{aligned} &\text{minimize}_{\mathbf{P}, \mathbf{t}} \quad \text{tr}\{\mathbf{P}\} \\ &\text{subject to} \quad \prod_{n=1}^{N_F} \left( \frac{t_{u,n}^k}{\Phi_{u,n}^k} \right)^{\Phi_{u,n}^k} \geq N_F \xi_{u,k}, \\ &u = 1, 2, \dots, U, k = 1, 2, \dots, K, \\ &P_{u,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{u,m}^H|^2 \geq \\ &(\sum_{l=1}^U P_{l,m} |\boldsymbol{\omega}_{u,m}^k \boldsymbol{\gamma}_{l,m}^H|^2 \bar{\Delta}_k + \sigma_v^2 \|\boldsymbol{\omega}_{u,m}^k\|^2) t_{u,m}^k, \\ &u = 1, 2, \dots, U, k = 1, 2, \dots, K, \\ &m = 1, 2, \dots, N_F, \\ &(N_F - 1) (\sum_{l=1}^{N_F} P_{u,l})^2 \leq \mathcal{A}_u(\mathbf{P}_u), u = 1, 2, \dots, U, \\ &P_{u,m} \geq 0, u = 1, 2, \dots, U, m = 1, 2, \dots, N_F, \end{aligned} \quad (28)$$

where  $\mathcal{A}_u(\mathbf{P}_u)$  denotes the RHS of (22). The SCA algorithm is summarized in **Algorithm 1**

$$(N_F - 1) \left( \sum_{l=1}^{N_F} P_{u,l} \right)^2 \leq \left( \frac{\prod_{p,q \in \mathcal{S}_1} \left( \frac{P_{u,p} P_{u,q}}{\theta_{u,pq}^{(1)}} \right)^{\theta_{u,pq}^{(1)}}}{\tau_u^{(1)}} \right)^{\tau_u^{(1)}} \left( \frac{\prod_{p,q,r,s \in \mathcal{S}_2} \left( \frac{\sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}}}{\theta_{u,pqrs}^{(2)}} \right)^{\theta_{u,pqrs}^{(2)}}}{\tau_u^{(2)}} \right)^{\tau_u^{(2)}} \times \left( \frac{\sigma_s^2 N_F^3 \prod_{l=1}^{N_F} \left( \frac{P_{u,l}^2}{\theta_{u,l}^{(3)}} \right)^{\theta_{u,l}^{(3)}}}{\tau_u^{(3)}} \right)^{\tau_u^{(3)}} \left( \frac{2\sigma_s^2 N_F^3 \prod_{\substack{p,q=1 \\ q>p}}^{N_F} \left( \frac{P_{u,p} P_{u,q}}{\theta_{u,pq}^{(4)}} \right)^{\theta_{u,pq}^{(4)}}}{\tau_u^{(4)}} \right)^{\tau_u^{(4)}} \quad (22)$$

$$\begin{aligned} \tau_u^{(1)} &= \frac{\sum_{p,q \in \mathcal{S}_1} P_{u,p} P_{u,q}}{\sum_{p,q \in \mathcal{S}_1} P_{u,p} P_{u,q} + \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}} + (\sum_{l=1}^{N_F} P_{u,l})^2 \sigma_s^2 N_F^3} \\ \tau_u^{(2)} &= \frac{\sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}}}{\sum_{p,q \in \mathcal{S}_1} P_{u,p} P_{u,q} + \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}} + (\sum_{l=1}^{N_F} P_{u,l})^2 \sigma_s^2 N_F^3} \\ \tau_u^{(3)} &= \frac{\sigma_s^2 N_F^3 \sum_{l=1}^{N_F} P_{u,l}^2}{\sum_{p,q \in \mathcal{S}_1} P_{u,p} P_{u,q} + \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}} + (\sum_{l=1}^{N_F} P_{u,l})^2 \sigma_s^2 N_F^3} \\ \tau_u^{(4)} &= \frac{2\sigma_s^2 N_F^3 \sum_{\substack{p,q=1 \\ q>p}}^{N_F} P_{u,p} P_{u,q}}{\sum_{p,q \in \mathcal{S}_1} P_{u,p} P_{u,q} + \sum_{p,q,r,s \in \mathcal{S}_2} \sqrt{P_{u,p} P_{u,q} P_{u,r} P_{u,s}} + (\sum_{l=1}^{N_F} P_{u,l})^2 \sigma_s^2 N_F^3}. \end{aligned} \quad (23)$$

#### IV. NUMERICAL RESULTS

In this section, numerical results are shown to demonstrate the performance of the proposed algorithm. SCA presented in Section III-C was derived for fixed receiver. The joint optimum can be achieved via alternating optimization [9] which means that the problem is split to the optimization of transmit power for fixed receiver and optimization of receiver for fixed power allocation. Alternating between these two optimization steps converges to a local solution.

The following parameters is used in simulations:  $U = 2$ ,  $N_R = 2$ ,  $N_F = 8$ , QPSK with Gray mapping, and systematic repeat accumulate (RA) code [14] with a code rate 1/3 and 8 internal iterations are used. The signal-to-noise ratio per receiver antenna averaged over frequency bins is defined by  $\text{SNR} = \text{tr}\{\mathbf{P}\} / (N_R N_F \sigma_s^2)$ . The channel we consider is a quasi-static Rayleigh fading 5-path average equal gain channel.

The complementary cumulative distribution function (CCDF) of PAPR for user 2 for different values of  $\sigma_s^2$  is depicted in Fig. 3. CCDF is calculated such that  $10^5$  randomly generated symbol sequences of length  $N_F$  for each user is sent over 200 channel realizations. It can be seen from the Fig. 3 that when  $\sigma_s^2 = 0.1$  there is not much difference compared to the case where there is no variance constraint. When  $\sigma_s^2 = 0.01$  we can obtain a slight PAPR gain with roughly the same SNR compared to the case with no variance constraint. When  $\sigma_s^2$  is further reduced to 0.001 the PAPR gain is significant. Even though the required SNR to achieve the target MI point increases 1.6 dB, the PAPR gain is much larger than the SNR loss. For example, in the case of no variance constraint we may need to set the maximum transmission power according to 8 dB PAPR while in the case of  $\sigma_s^2 = 0.001$  the corresponding PAPR is 3.06 dB. Hence, the gain is 8 dB - 3.06 dB - 1.6 dB = 3.34 dB. Therefore, the coverage of  $\sigma_s^2 = 0.001$  precoded transmission is larger compared to the case with no variance constraint.

#### Algorithm 1 Successive convex approximation algorithm.

- 1: Set  $\hat{t}_{u,n}^k = \hat{t}_{u,n}^{k(0)}$ ,  $\forall u, k, n$  and  $\hat{\mathbf{P}}_{u,n} = \hat{\mathbf{P}}_{u,n}^{(0)}$ ,  $\forall u, n$ .
- 2: **repeat**
- 3:   Calculate the weights (27) and (23).
- 4:   Solve Eq. (28).
- 5:   Update  $\hat{t}_{u,n}^k = \hat{t}_{u,n}^{k(*)}$ ,  $\forall u, k, n$  and  $\hat{\mathbf{P}}_{u,n} = \hat{\mathbf{P}}_{u,n}^{(0)}$ ,  $\forall u, n$ .
- 6: **until** Convergence.

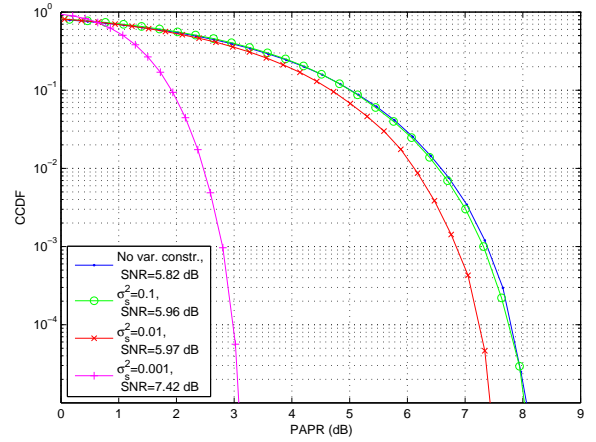


Fig. 3. CCDF of PAPR for user 2.  $U = 2$ ,  $N_F = 8$ ,  $N_R = 2$ ,  $\hat{I}_u^{\text{E,target}} = 0.7892$ ,  $u = 1, 2$ ,  $\hat{I}_u^{\text{E,target}} = 0.9998$ ,  $\forall u$ ,  $\epsilon_u = 0.01$ ,  $\forall u$ ,  $N_L = 5$ .

#### V. CONCLUSIONS

Transmission power variance constrained power allocation for iterative frequency domain multiuser single input multiple output detector was derived in this paper. The precoding technique takes into account the convergence properties of the iterative receiver while keeping the transmission power variance below the desired threshold. Successive convex approximation was derived for the problem via geometric program. Numerical results demonstrated that the PAPR gain is significantly larger than the SNR loss in the variance constrained precoding technique compared to the case without variance constraint. Hence, the proposed precoding technique increases the coverage of the transmission and is beneficial for power limited cell edge users.

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