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Japan Advanced Institute of Science and Technology

# An Introduction to Type Theoretical Ideas

### Bengt Nordström

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Kanazawa, Japan, March 2007



- 2 Brouwer-Heyting-Kolmogorov
- **3** Curry-Howard
- Proofs as Programs
- 5 Martin-Löf





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# What is type theory?

### A Computer Science Perspective:

It is a precisely defined language to express important parts of programming.

- a programming language (to express programs)
- a specification language (to express the task of the program)
- a programming logic (to express correctness)

#### A Programmer's Perspective:

Type theory is a

- simple functional language
- with a rich type system (to express specifications)

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• and a formal programming logic.

### **A Logic Perspective:**

Type theory is a foundation for (constructive) mathematics.

### Why is constructive mathematics relevant for programming?

- computation is fundamental
- function = computable function (= program)
- Proposition = Task / Problem

**Proofs as Programs** 

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# Classical logic, truth tables

### Conjunction



### Disjunction

#### Implication



The meaning of proposition is an element in Bool. This assumes that a proposition is either true or false! The meaning of a mathematical statement refers to how things are in a mathematical world.

**Proofs as Programs** 

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# Example of a classical function

### Goldbach's conjecture

Every even number greater than 3 is the sum of two primes.

Nobody knows if this conjecture holds.

A classical function

$$g(n) = \begin{cases} 1 & \text{if Goldbach's conjecture is true,} \\ 0 & \text{otherwise} \end{cases}$$

Is this function computable?

# (Classical) example of a classical proof

### There exist irrational numbers a and b such that $a^b$ is rational.

We know that  $\sqrt{2}^{\sqrt{2}}$  is either rational or irrational.

- In the first case we take  $a=b=\sqrt{2}$
- In the second case we take  $a = \sqrt{2}^{\sqrt{2}}$  and  $b = \sqrt{2}$

# (Classical) example of a classical proof

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We know that  $\sqrt{2}^{\sqrt{2}}$  is either rational or irrational.

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### **Brouwer**

Brouwer rejected the idea that the meaning of a mathematical proposition is its truth value. Mathematical propositions do not exist independently of us.

We cannot say that a proposition is true without having a proof of it.



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# Heyting

### Heyting was a student of Brouwer. He gave the following explanation of the logical constants.



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### Heyting's explanation of the logical constants (1930)

A proof of:	consists of:				
	a proof of A and a proof of B				
	B[x := y]				

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Heyting's explanation of the logical constants (1930)							
A proof of:	consists of:						
A & B	a proof of A and a proof of B						
	a proof of A or a proof of B						
$\forall x \in A.B$	a method, which takes any element $y$ in $A$ to a proof of $B[x := y]$						

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Heyting's explanation of the logical constants (1930)				
A proof of:	consists of:			
A & B	a proof of A and a proof of B			
$A \lor B$	a proof of A or a proof of B			
	a method which takes any proof of $A$ to a proof of $B$			
	B[x := y]			

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Heyting's explanation of the logical constants (1930)					
A proof of:	consists of:				
A & B	a proof of A and a proof of B				
$A \lor B$	a proof of A or a proof of B				
$A \supset B$	a method which takes any proof of $A$ to a proof of $B$				
	a method which takes any proof of A to a proof of ab- surdity				
$\forall x \in A.B$	a method, which takes any element $y$ in $A$ to a proof of $B[x := y]$				

Heyting's explanation of the logical constants (1930)					
A proof of:	consists of:				
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$A \supset B$	a method which takes any proof of $A$ to a proof of $B$				
$\neg A$	a method which takes any proof of $A$ to a proof of ab-				
	surdity				
	B[x := y]				

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$\perp$	has no proof					
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	B[x := y]				

**Proofs as Programs** 

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## Kolmogorov

Independently of Heyting, Kolmogorov interpreted propositions as problems.



Background	Brouwer-Heyting-Kolmogorov	Curry-Howard	Proofs as Programs	Martin-Löf	Types project
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The problem:	he problem: is solved if we can:		
	solve A and solve B		

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The problem:	is solved if we can:
A & B	solve A and solve B
	solve A or solve B

Background Brouwer-Heytin	ng-Kolmogorov Curry-Howa	ard Proofs as Program	s Martin-Löf	Types project
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The problem:	is solved if we can:
A & B	solve A and solve B
$A \lor B$	solve A or solve B
	reduce the solution of <i>B</i> to the solution of

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	A
	show that there is no solution of A

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Background Brouwer-Heyting-Kolmogorov Curry-Ho	ard Proofs as Programs Martin-Löf Types project
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Heyting's and Kolmogorov's explanation			
A proof (solution) of:	consists of:		
A&B	a proof (solution) of A and a proof (solution) of B		
$A \lor B$	a proof (solution) of A or a proof (solution) of B		
$A \supset B$	a method which takes any proof (solution) of <i>A</i> to a proof (solution) of <i>B</i>		
$\neg A$	a method which takes any proof (solution) of A to a proof (solution) of absurdity		
$\perp$	has no proof (solution)		
$\exists x \in A.B$	an element a in A and a proof (solution) of $B[x := a]$		
$\forall x \in A.B$	a method, which takes any element $y$ in $A$ to a proof (solution) of $B[x := y]$		

#### Question:

Is this correct? Could not a proof (solution) of A & B be obtained by induction, or modus ponens, or some other elmination rule?

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#### Question:

Is this correct? Could not a proof (solution) of A & B be obtained by induction, or modus ponens, or some other elimination rule?

# Imprediativity in the definition of implication?

Dummett (and others) have pointed out that there is some kind of impredicativity in the definition of implication:

### Heyting's and Kolmogorov's explanation

A proof (solution) of:	oof (solution) of:   consists of:	
$A \supset B$	a method which takes any proof (solution)	
	of A to a proof (solution) of B	

The method must take any proof of *A*, this is some kind of quantification over all proofs, including proofs involving implication.

# Direct and indirect proofs

When we say that we have a proof of a proposition, then we mean that we have a method which when computed yields a direct proof of it.

Compare this with mathematics and programming: When we say that 2 + 4 and fst( $< 45^2, -9 >$ ) are natural numbers, then we mean that they can be *computed* to a natural number.

Terminology:			
	computed	not computed	
object	value	expression	
proof	direct	indirect	
proof	canonical	non-canonical	

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# Examples of indirect proofs

### And-elimination

# $\frac{A\&B}{A}$

If we have a proof of A & B, then we can compute it to a direct proof. This always consists of a proof of A and a proof of B. Hence we may always obtain a proof of A from a proof of A & B.

#### Mathematical induction

$$\frac{n \in \mathbb{N} \quad P(0) \quad (\forall n \in \mathbb{N}) P(n) \supset P(\operatorname{succ}(n))}{P(n)}$$

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# Examples of indirect proofs

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# **Curry-Howard**

To summarize Heyting's and Kolmogorov's explanations:

What does it mean to understand a proposition?

I understand a *proposition* when I understand what a *direct proof* of it is.

This looks very similar to:

What does it mean to understand a set?

I understand a *set* when I understand what a *canonical element* of it is.

# **Curry-Howard**

To summarize Heyting's and Kolmogorov's explanations:

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This looks very similar to:

#### What does it mean to understand a set?

I understand a *set* when I understand what a *canonical element* of it is.

Propositions and sets		
A proof (element) of:	consists of:	
A & B	a proof (solution) of A and a proof (solution) of B	
	an element in A and an element in B	
	a proof (solution) of A or a proof (solution) of B	
	an element in A or an element in B	
	a method which takes any proof (solution) of $A$ to a proof (solution) of $B$	
	a method which takes any element in $A$ to an element in $B$	
	has no proof (solution)	
	has no elements	
	an element a in A and a proof (solution) of $B[x := a]$	
	a method, which takes any element $y$ in $A$ to a proof (solution) of $B[x := y]$	

Propositions and sets		
A proof (element) of:	consists of:	
A & B	a proof (solution) of A and a proof (solution) of B	
$A \times B$	an element in A and an element in B	
	a method, which takes any element $y$ in $A$ to a proof (solution) of $B[x:=y]$	

Propositions and sets		
A proof (element) of:	consists of:	
A&B	a proof (solution) of A and a proof (solution) of B	
A  imes B	an element in A and an element in B	
$A \lor B$	a proof (solution) of A or a proof (solution) of B	
	an element in A or an element in B	
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Propositions and sets			
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A + B	an element in A or an element in B		
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A + B	an element in $A$ or an element in $B$		
$A \supset B$	a method which takes any proof (solution) of A to a proof (solution) of B		
	an element <i>a</i> in <i>A</i> and a proof (solution) of $B[x := a]$ a method, which takes any element <i>y</i> in <i>A</i> to a proof (solution) of $B[x := y]$		

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A + B	an element in A or an element in B	
$A \supset B$	a method which takes any proof (solution) of A to a proof (solution) of B	
$A \rightarrow B$	a method which takes any element in <i>A</i> to an element in <i>B</i>	
$\perp$	has no proof (solution)	

Propositions and sets		
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$\exists x \in A.B \\ \forall x \in A.B$	an element <i>a</i> in <i>A</i> and a proof (solution) of $B[x := a]$ a method, which takes any element <i>y</i> in <i>A</i> to a proof (solution) of $B[x := y]$	

Propositions and sets			
A proof (element) of:	consists of:		
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#### This similarity leads to the

#### Curry-Howard isomorphism

$$A \& B = A \times B$$
$$A \lor B = A + B$$
$$A \supset B = A \rightarrow B$$
$$\bot = \emptyset$$
$$\neg A = A \rightarrow \emptyset$$

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#### Curry's contribution

Curry noticed the formal similarity between the axioms of positive implicational logic:

$$A \supset B \supset A (A \supset B \supset C) \supset (A \supset B) \supset A \supset C$$

and the type of the basic combinators:

$$egin{aligned} &\mathcal{K}\in\mathcal{A} o\mathcal{B}\to\mathcal{A}\ &\mathcal{S}\in(\mathcal{A} o\mathcal{B}\to\mathcal{C}) o(\mathcal{A} o\mathcal{B}) o\mathcal{A} o\mathcal{C} \end{aligned}$$

Modus ponens corresponds to the typing rule for application:

$$\frac{A \supset B \quad A}{A} \quad \frac{f \in A \to B \quad a \in A}{f \quad a \in B}$$

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# Proofs as Programs in a functional programming language

A direct proof of:	consists of:	As a type:
$A \lor B$	a proof of A or	data Or A $B = Ori1 A   Ori2 B;$
	a proof of B	
A & B	a proof of A and	data And A $B = Andi A B;$
	a proof of B	
$A \supset B$	a method taking	
	a proof of A	<b>data</b> Implies A B = Impi A $\rightarrow$ B;
	to a proof of <i>B</i>	
Falsity	-	<b>data</b> Falsity = ;
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Types project

#### Constructors are introduction rules

$\frac{A}{A \lor B}$	<b>Ori1</b> $\in A \rightarrow A \lor B$
$\frac{B}{A \lor B}$	$\mathbf{Ori2} \in B \to A \lor B$
$\frac{A}{A\&B}$	Andi $\in A \rightarrow B \rightarrow A \& B$
$\frac{\begin{bmatrix} A \end{bmatrix}}{B}$ $\overline{A \supset B}$	$Impli \in (A \to B) \to A \supset B$

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Types project

#### Elimination rules can be defined

orel 
$$(A \lor B \to (A \to C) \to (B \to C) \to C$$
  
orel (Ori1 a)  $f g = f a$   
orel (Ori2 b)  $f g = g b$   
andel  $(A \to B \to C) \to C$   
andel (Andi  $a b$ )  $f = f a b$   
implel  $(A \to B \to A \to B)$   
implel (Impli  $f$ )  $a = f a$ 

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#### Elimination rules can be defined

orel 
$$(A \lor B \to (A \to C) \to (B \to C) \to C$$
  
orel (Ori1 a)  $f g = f a$   
orel (Ori2 b)  $f g = g b$   
andel  $(A \to B \to C) \to C$   
andel (Andi  $a b$ )  $f = f a b$   
implel  $(A \to B \to A \to B)$   
implel (Impli  $f$ )  $a = f a$   
 $A \lor B \qquad C \qquad C$   
 $A \lor B \qquad A$   
 $B$   
implel (Impli  $f$ )  $a = f$   $a$ 

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#### Elimination rules can be defined

orel 
$$(A \lor B \to (A \to C) \to (B \to C) \to C$$
  
orel (Ori1 a)  $f g = f a$   
orel (Ori2 b)  $f g = g b$   
andel  $(A \to B \to C) \to C$   
andel (Andi  $a b$ )  $f = f a b$   
implel  $(A \to B \to A \to B)$   
implel (Impli  $f$ )  $a = f a$   
 $A \lor B \to C \to C$   
 $A \to B \to A \to B$   
implel (Impli  $f$ )  $a = f a$ 

# **Proof checking** = Type checking

In this way we can prove propositional formulas in a typed functional programming language. The problem of proving for instance

 $(A\&B)\supset (B\&A)$ 

is then the problem of finding a program in this type. The type checker will check if the proof is correct. In this case, we can use the following program:

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Types project

Propositions and sets		
A proof (element) of:	consists of:	
$\exists x \in A.B$	an element <i>a</i> in <i>A</i> and a proof (solution)	
	of $B[x := a]$	
	an element a in A and an element in	
	B[x := a]	
	a method, which takes any element x in	
	A to a proof (solution) of $B[x := a]$	
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Types project

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$\Sigma x \in A.B$	an element $a$ in $A$ and an element in $B[x := a]$
$\forall x \in A.B$	a method, which takes any element $x$ in $A$ to a proof (solution) of $B[x := a]$
$\Pi x \in A.B$	a method, which takes any element $y$ in $A$ to an element in $B[x := y]$

Martin-Löf

Types project

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#### Overview of Martin Löf's type theory

- Type theory is a small typed functional language with one basic type and two type forming operation.
- It is a **framework** for defining logics.
- A logic is introduced by declarations of new constants.

#### What types are there?

- Set is a type
- EI(A) is a type, if  $A \in Set$ .
- $(x \in A) \rightarrow B$  is a type, if A is a type and B a family of types for  $x \in A$ .

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#### What programs are there?

Programs are formed from variables and constants using abstraction and application:

Application

$$\frac{c \in (x \in A) \to B \quad a \in A}{c \ a \in B[x := a]}$$

Abstraction

$$\frac{b \in B \quad [x \in A]}{[x]b \in (x \in A) \to B}$$

• constants are either primitive or defined

There are two kinds of constants:

primitive: (not defined) have a type but no definiens (RHS):

 $\mathsf{identifier} \in \mathsf{Type}$ 

defined: have a type and a definiens:

 $identifier = expr \in Type$ 

There are two kinds of defined constants:

- explicitly defined
- implicitly defined

#### **Primitive constants**

- computes to themselves (i.e. are values).
- constructors in functional languages.
- introduction rules and formation rules in logic
- postulates

Examples:

 $\begin{array}{lll} \mathsf{N} & \in & \mathsf{Set} \\ \mathsf{0} & \in & \mathsf{N} \\ \mathsf{s} & \in & \mathsf{N} \to \mathsf{N} \\ \& & \in & \mathsf{Set} \to \mathsf{Set} \to \mathsf{Set} \\ \& \mathsf{I} & \in & (A \in \mathsf{Set}) \to (B \in \mathsf{Set}) \to A \to B \to A \& B \\ \Pi & \in & (A \in \mathsf{Set}) \to (A \to \mathsf{Set}) \to \mathsf{Set} \\ \lambda & \in & (A \in \mathsf{Set}) \to (B \in A \to \mathsf{Set}) \to ((x \in A) \to B(x)) \to \\ & & \Pi(A, B) \end{array}$ 

#### **Explicitly defined constants**

- have a type and a definiens (RHS).
- the definiens is a welltyped expression
- abbreviation
- derived rule in logic.
- names for proofs and theorems in math.

Examples:

$$2 \in \mathbb{N}$$
  
= succ(succ 0)  
$$\forall (A \in Set)(B \in A \rightarrow Set) \in Set$$
  
=  $\Pi A B$   
+( $x \in \mathbb{N}$ )( $y \in \mathbb{N}$ )  $\in \mathbb{N}$   
= natrec [ $x$ ]N  $\times y$  [ $u, v$ ](succ  $v$ )  
 $\supset (A \in Set)(B \in Set) \in Set$   
=  $\Pi A [x]B$ 

#### Implicitly defined constants

The definiens (RHS) may contain pattern matching and may contain occurrences of the constant itself. The correctness of the definition must in general be decided outside the system

- Recursively defined programs
- Elimination rules (the step from the definiendum to the

definiens is the contraction rule).

Examples:

```
add(x \in N)(y \in N) \in N

add \ 0 \ y = y

add (succ \ u) \ y = succ (add \ u \ y)

\& E(A \in Set)(B \in Set)(C \in A \to B \to Set)

(f \in (x \in A) \to (y \in B) \to C(\&I \times y))

(z \in A \& B)

\in C(z)

\& E \ A \ B \ C \ f (\&I \ a \ b) = f \ a \ b
```

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# Type theory in Europe

- We had a couple of informal workshops on the Swedish west coast in the '80s.
- The EU funded Types project started in 1989
- The annual Types conference has around 100 participants.

#### Sites

#### Main sites:

- Tallinn
- Göteborg
- Edinburgh
- Manchester
- Nijmegen
- London
- Bialystok
- Warsaw
- Paris 7
- Paris Sud
- Munich TUM
- Munich LMU
- Udine
- Torino
- INRIA





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#### **Proof editor**

A proof editor is a program which lets the user edit a proof of a proposition.

- The user enters a type (a problem)
- The computer checks if it is a propositon
- The user interactively builds an object (proof) of it.

The computer checks all the time that the object is of the given type, i.e. that it proves the given problem.

#### Important proof editors in the Types project:

- Coq (Paris)
- Lego (Edinburgh)
- Isabelle (Cambridge, Munich)
- Alf, Agda (Göteborg)
- Epigram (Nottingham)

#### **Correctness of the proof editor**

An interactive proof checker is a rather complicated program. It contains a lot of complicated code to deal with the interaction with the user. Do we have to trust the entire computer system? An important idea is the idea of *independent checking*:

We should have a small type checker which checks a complete proof. This type checker will be so small and simple that it is "obviously" correct.

Then we can even use external tools to find proofs, if these tools also produces proof objects in type theory.